

Presentation of Key Indicators to the Committee on Institutional Research and Planning

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Key Indicators: “The Vital Signs”

- 1) Financial Plan Core Receipts
- 2) Financial Plan Core Disbursements
- 3) IFR Activity and Cash/Fund Balances
- 4) Payroll Expense and FTE Count
- 5) Utility Costs and Consumption
- 6) Information Technology Needs



Key Indicators, continued...

- 7) Public Safety and Physical Environment
- 8) Overall Budget Condition
- 9) Grants, Contracts, and Indirect Costs
- 10) Capital Projects
- 11) Multi-year Trend Analysis
- 12) Compliance



Financial Plan Core Receipts

- Monthly Report from Office of SUNY Controller
- Cash Receipts Analysis
- Includes Projected and Actual Receipts
- Is Cumulative
- Includes Tuition, Fees, Clinic Pledge and Other and Total



Financial Plan Core Disbursements

- Monthly Report from Office of SUNY Controller
- Cash Disbursements Analysis
- Includes Projected and Actual Disbursements
- Is Cumulative
- By Major Object, i.e., PSR, TS and OTPS



IFR Activity and Cash/Fund Balances

- Monthly Report from Office of SUNY Controller
- IFR Cash Flow Including Overhead Recovery
- Includes SUTRA Cash Flow
- Cash Balance by Fund – Stabilization, SUTRA, General IFR
- IFR Account detail



Payroll Expense and FTE Count

- Report from Office of SUNY Controller
- PSR and TS Expenditures by Cost Center
- Shows Expenditures and Encumbrances
- Campus-generated Reports by Pay Period
 - Summary of Filled Positions by Fund and Function
 - Payroll Detail by Account
 - Report of Current Activity by Line



Utility Costs and Consumption

- Combined Steam and Electric Graphs
- Electric: Costs by Month, Consumption by Month, Total by Year
- Steam: Costs by Month, Consumption by Month, Total by Year
- Difficult to Control in Dynamic Environment
 - Cost versus Consumption
 - Required in All Capital Projects



Information Technology Needs

- Five-year Projections
- Input Sought - IT Council, UEC Administration, Surveys
- Regular Outlays to Prevent Expense “Bulges”
- 2010-11 priorities include:
 - Remote access capability;
 - Insure high availability of existing IT resources;
 - Offer additional technology tools for teaching and learning;
 - Maintain and support current technologies;
 - Extraordinary items such as remote access, new content management system, faculty information system, and expansion of the Storage Area Network (SAN or the H: drive).



Information Technology Budget

- Related to Strategic Plan Goals:
 - 1.1 To provide innovative, exciting, and attractive programs that meet the evolving needs of our students and the public;
 - 1.3 To provide an environment that develops critical thinking and life-long learning;
 - 1.4 To develop future leaders in Optometry
 - 1.7 To become a leader in continuing optometric education;
 - 1.9 To attract and retain highly qualified, full-time faculty members;
 - 4.1 To increase SUNY Optometry's international presence.



Public Safety and Physical Environment

- Top Priorities are Health and Safety, Building Preservation and Energy
- Emphasis on Observation and Reporting
- Regular Preventive Maintenance
- Comfort and Appearance Also Important
- Close coordination with Capital Projects



Overall Budget Condition

- Comparison of Projected Need to Allocated Financial Plan – the Campus Core Budget
- Need Based on PSR, TS, and OTPS Spending
 - Does Not Include Research, Capital or Related-Entity Funds
- Identifies/Tracks the Core Budget “Gap” to be Covered by Use of Reserves, Savings, etc.
- Budget Status by VP



Grants, Contracts, and Indirect Costs

- Research Foundation Central Office Data Base
 - Sponsored Program Activity Report (SPAR)
 - AR, At Risk, Suspense, IFR (Salary Offset)
- Quarterly Campus Report
 - New Awards
 - Sponsored Activity Spreadsheet and Graph
 - Sponsored Program Status Report by P.I.
 - Salary Offset Report
 - New, Submitted, and Unfunded Applications



Capital Projects

- 2013 – 2018 – 2023 Capital Plan(s)
 - *Facility Master Plan* Underway
- 2008 – 2013 Capital Plan
 - \$36.3 Million Allocated
 - Major Work Undertaken with Elevators, Fire Alarm System, Building Management System, MEP Upgrades, and Floors 1, 2, 3, and 3M
 - Smaller Space Alterations on Clinic and Research Floors



Multi-Year Trend Analysis

- IFR Account Balances
- Changes in Select IFR Cash Balances
- Utility Costs and Consumption
- Research – Direct and Indirect Expenditures
- Sources and Uses of Funds
 - Annual Report
 - IPEDS Data



Compliance

- Federal, State, Local, SUNY, Other
- Especially in Health Care, Information Security, and Financial Matters
- The Cost of “Unfunded Mandates”
- Key Indicators Must Adapt to Change

